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# EXHIBITS

Doc. No.	Def. Pros. No. No.		In Evidence
1698	2752	"Tokyo Record" by Otto D. Tolischus, 1943, Reynal & Hitchcock, New York	24675
1698-A	2752-A	Excerpt therefrom (pp.102-103)	24675
		MORNING RECESS	24675
1640	2753	Memorandum signed by Woermann dated 23 July 1941	24687
1683	2754	Proceedings of the Talks Between Minister TOYODA and Ambassador Grew concerning the Entry of Forces into French Indo-China on 26 July	24691
		NOON RECESS	24700
1682	2755	The Record of the Con- versations between Minister TOYODA and Ambassador Grew on 27 July. (These con-	
		versations were con- ducted strictly con- fidentially and in- formally off the recor- at the request of the American Ambassador)	d 24701
206-E(93)	2756	dmiral TOYODA's Peace	24709

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## EXHIBITS

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No.	Def. No.	Pros.	Description For Ident	In Evidence
401-B(55)	2757		Excerpt from "Foreign Relations of the U. S., Japan 1931- 1941" Vol II (pp. 184-185)	24717
1659	2758		Summary of the Opinion of the German Govern- ment as told by Ambassador Ott in Tokyo on 11 May 1941	24721
1658	2759		Instruction of the German Government to the German Ambassador in Tokyo (brought by the German Ambassador in Tokyo, Ott, on the occasion of a conversation with Foreign Minister	34749
1641	2760		MATSUOKA on 17 May 1941 Telegram from Ott to the German Foreign Office dated 30 August 1941 re con- versation with Japanese Foreign	
1500	2761		Minister TOYODA  Volume entitled "Pear1 Harbour Attack" 24730	24727
1676	2761-	-Λ	Excerpt therefrom:  "Hearings before the Joint Committee on the Investigation of the Pearl Harbour Attack"(pp.1363-1364)	24732
			on the Investigation of the Pearl Harbour	

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No.	Def.	Pros.	Description	For Ident.	In Evidence
1662	2762		Affidavit of Joachim V. Ribbentrop		24737
			AFTERNOON RECESS		24746
1450	2763		Transcript from the In- terrogation of Dr. Paul Schmidt at Nuernberg, Germany, on 28 March 1946	24749	
1450	2763 A		Excerpt therefrom		24749
1642	2764	king s	Note of 11 December 1941 handed by the German Foreign Minister to the American Charge d'Affaire prior to the Session of the Diet		24751
1674	2765		Book entitled "The Winning of the War in Europe and the Pacific" by General Marshall	g 24754	
1674	2765-A		Excerpt therefrom		24754

Thursday, 19 June 1947

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INTERNATIONAL MILITARY TRIBUNAL
FOR THE FAR EAST
Court House of the Tribunal
War Ministry Building
Tokyo, Japan

The Tribunal met, pursuant to adjournment, at 0930.

Appearances:

For the Tribunal, all Members sitting, with the exception of: HONORABLE JUSTICE E. H. NORTHCROFT, Member from the Dominion of New Zealand, not sitting from 0930 to 1530; HONORABLE JUSTICE E. STUART McDOUGALL, Member from the Dominion of Canada, not sitting from 1100 to 1530 and HONORABLE JUSTICE JU-AO MEI, Member from the Republic of China, not sitting from 1500 to 1530.

For the Prosecution Section, same as before. For the Defense Section, same as before.

(English to Japanese and Japanese to English interpretation was made by the Language Section, IMTFE.)

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MARSHAL OF THE COURT: The International Tribunal for the Far East is now in session.

THE PRESIDENT: The accused TOGO is, with the permission of the Tribunal, conferring with his counsel and will continue to do so until the end of this session at noon today.

Mr. Cunningham.

MR. CUNNINGHAM: Your Honor, before we start with the re-direct examination of this witness I want to call your attention to page 24,575 of the record yesterday and make a correction, in the middle of that page, page 24,575. I am quoting the President: "You said they were statements made to prosecuting officers although before any charge was made. That's enough."

I want to state that I had been misquoted there, that I did not say that statement, and I refer you back to the previous page. Apparently you, and perhaps other Members of the Tribunal, were under misapprehension in ruling on those documents. The documents 1437, 1438 and 1439 were not made to prosecuting attorneys or members of the staff; they were made to the son of MATSUOKA. I had no opportunity to correct the misapprehension at that time, but I wanted to be sure before I

called it to your attention, what the true state of the record was.

THE PRESIDENT: What you said is open to both constructions, obviously, but we accept your correction.

MR. CUNNINGHAM: Then, in the light of the correction, I suggest a reconsideration of the ruling, and I should like to argue the documents because I did not have an opportunity to answer the objection of the prosecutor yesterday.

of those self-serving statements, made after the prosecution was well under way, although not formerly launched, isn't that so? In that respect it differs from the statement of KONOYE, which was made during the war. However, it is for the Tribunal to say whether they will take into account the technical difference which is now revealed by your further explanation. I make no prosouncement about it, ar. Cunningham.

in R. CUNNINGHAL: I should like, your Honor, to at least answer the objection of the prosecutor to that document and to show the distinct difference. And I wish to state that your observation on the document is not in any way similar to my observation

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about it.

THE PRECIDENT: If you like to address us in obscure, equivocal language, well, you take the risk of being misunderstood, but we accept your correction today. It appears now from what you say that this statement of MATSUOKA'S was made to his son in January 1946, after the prosecution of alleged Japanese war criminals was decided upon and when the prosecution were fully represented and were here in Tokyo. The statement was, in fact, made to a prosecuting officer. Is that the position, ar. Cunningham?

MR. CUNNINGHAL: No, your Honor, that is not the position.

THE PRESIDENT: Well, that is the most favorable construction that can be put on what you said yesterday.

MR. CUNNINGHAM: Well, of course, this is developing into one-sided. If I may have just five minutes to explain my position, I think it will not be ambiguous or obscure.

THE PRESIDENT: It should not take five minutes, but we will hear it.

MR. CUNNINGHA.: I refer you to the previous page of this record and I can see nothing ambiguous

or obscure about the presentation which I made of this document.

On the second proposition of whether or not this defendant made -- the accused MATSUOKA made this statement as a self-serving declaration, I am convinced that he made that statement for history, and in the wildest stretch of his imagination I feel that he was not considering himself a war criminal under the Potsdam Declaration.

tions. All we want is a simple statement of the facts bearing on this statement, and unless you give them, and give them at once, we won't hear another word from you. We have asked you to make a simple statement of the facts. If you are not prepared to do that we won't hear you. We are not obliged to accept from you any more than a simple statement of the facts. You make submissions to us; you do not tell us what your convictions are. No lawyer does that.

LTR. CUNNINGHAM: I should like to go to the second point which your Honor made, and that is, that the statement was made after the prosecution had arrived in Tokyo. That has no bearing, as I see it, because the prosecution of war criminals

and war crimes had no bearing upon the relations of MATSUOKA to his son, and the statement he was making for historical purposes.

On the third proposition, you must be aware that the Indictment in this case, charging the accused, was not entered for months after this statement was made, and there can be no connection.

THE PRESIDENT: Proceed. There is nothing to prevent you.

aR. CUNNINGHAL: On the following proposition, if I had been permitted to enswer yesterday, as I think I had the right to do, to enswer the objections of the prosecution on these specific documents, these are the observations I would have made at the time.

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THE PRESIDENT: Calling it sacred won't make it admissible. When was he first approached by the prosecution? No doubt he expected the approach before it was made.

MR. CUNNINGHAM: That is an assumption, your Honor, and I understand that he was approached by the prosecution some time in February of 1946, after this document had been completed, long after. I believe the original interrogation is in the hands of the clerk here, 1643, parent document.

Those are my observations on the matter, your Honor, and I submit that the matter should be given more serious consideration because I believe, in my presentation of these documents, that anything that Yosuke MATSUOKA said in explanation of the Tri-Partite Pact, no matter when he said it, is of the most relevant material and consequence of any document to be offered in this Tribunal.

One last sentence. Yosuke MATSUOKA was the Foreign Minister of Japan at one of the most crucial periods in the history of the nation.

THE PRESIDENT: Having heard you fully again, a majority of the Tribunal are convinced that they should adhere to their decision.

MR. CUNNINGHAM: I will now proceed with the

redirect examination of the witness.

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A L F R E D F. K R E T S C H M E R, called as a witness on behalf of the defense, resumed the stand and testified as follows:

#### REDIRECT EXAMINATION

### BY MR. CUNNINGHAM:

Q General Kretschmer, yesterday Mr. Tavenner completed his cross-examination. Now I ask you, what were your instructions, when you came to Tokyo, from the German Foreign Office?

A I had no special instructions. My task here was quite the same as the task of every military attache, whatever nation he may belong to.

O At any time, General, prior to the Pearl
Harbor attack, did you have any intimation that a war
was imminent between the United States and Japan?

MR. TAVENNER: If the Tribunal please, objection is made to this question inasmuch as 1t is the same question that he covered in his direct examination, and nothing new -- please strike the words "and nothing new" -- and I desired to add that it is mere repetition.

MR. CUNNINGHAM: I merely wanted to correct any confusion that might have been created by the

redirect examination of the witness.

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ALFRED F. KRETSCHMER, called as a witness on behalf of the defense, resumed the stand and testified as follows:

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cross-examination by Mr. Tavenner on that subject.

THE PRESIDENT: That does not warrant him repeating what he said in examination in chief.

The objection is upheld and the question disallowed.

MR. CUNNINGHAM: That was a forerunner to the next question.

Q In what manner did you learn of the attack on Pearl Harbor?

A The morning after this attack I was instructed by, as far as I remember, Lieutenant Colonel AKITA that the Japanese attack had been started against the different strategical aims known to everyone here.

O In what manner were you engaged around and about the time the Pearl Harbor attack occurred?

THE PRESIDENT: Mr. Tavenner.

MR. TAVENNER: If it please the Tribunal, objection is made to this line of redirect examination in that it does not relate to a matter that in any way arises out of cross-examination. There was no mention at any time of any matter relating to what occurred on the day of the Japanese attack on Pearl Harbor.

MR. CUNNINGHAM: As I understood the prosecution's cross-examination, they tried to show that

the Embassy here knew long before the hostolities commenced that they would be commenced.

THE PRESIDENT: What I cannot see is how what he happened to be doing on that occasion could throw any light on his knowledge.

MR. CUNNINGHAM: I thought I would let the witness enlighten you on that instead of my enlightening you, your Honor. The witness was entertained by the Japanese authorities at the time to prevent him from knowing what was going on, if you want me to suggest to you.

THE PRESIDENT: The question is disallowed as irrelevant.

Q Then may I ask this question: Did the Japanese officials take any action to prevent you from knowing that the attack on Pearl Harbor was being made?

A Japanese authorities didn't give us any knowledge at all and especially at this time they proposed
for us traveling, I don't know for what places. Those
of us who were in Tokyo were invited this evening
first to the Kabuki, afterwards to a dinner which
lasted perhaps until ten o'clock in the evening.

Q I will pass that now. By what means could you communicate with Germany after December 9, 1941?

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THE PRESIDENT: Mr. Tavenner.

MR. TAVENNER: Objection is made, if the Tribunal please, on the ground that this is not redirect examination arising out of anything that occurred during cross-examination.

MR. CUNNINGHAM: Your Honor, if I remember correctly, Mr. Tavenner specifically went into the question of the reports which this witness made to his government as a result of his trip through the South in 1942.

nothing bearing on this matter of the way the witness communicated with his government. Such a matter may, perhaps, have been brought out by you in direct examination but redirect examination, I need hardly say, must be on matters brought out by the cross-examination and to clear up obscurities or uncertainties created by the cross-examination, and this particular matter was not adverted to in the cross-examination either directly or indirectly.

MR. CUNNINGHAM: Your Honor, if there is no misunderstanding that this witness had difficulty in communicating with his government and that he had to use the ordinary channels of communication, and so on, I would like to find out from the witness just how he

communicated these reports which he made, in answer to the question of the prosecutor, to his government.

THE PRESIDENT: We can allow you to do that only if we disregard the rules agreed upon by yourself and which must be applied to all counsel without discrimination.

MR. CUNNINGHAM: When the rules interfere with the discovery of the facts I say suspend the rules.

THE PRESIDENT: At all events, for Mr. Cunningham's benefit, the objection is upheld and the question disallowed.

MR. CUNNINGHAM: All right. Now the next question.

Q General, did anyone from the Japanese General Staff ever talk with you about operational plans after the outbreak of the Pacific War?

THE PRESIDENT: Mr. Tavenner.

MR. TAVENNER: If the Tribunal please, that was matter covered by the examination in chief and the only purpose now is to attempt to have this witness repeat his testimony.

MR. CUMNINGHAM: I understood that the prosecution tried to intimate that there was an operational plan in existence and that it was functioning. If they

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deny that then there is no sense in asking the question.

THE PRESIDENT: The objection is upheld.

Q Did you or any of the members of your staff make investigations concerning the Japanese war potential before Pearl Harbor?

A We wished to know but we could not receive any dates from the Japanese side.

- Q By "dates" do you mean "data"?
- A I beg your pardon, I could not --
- Q By "dates" do you mean "data"?

A I mean data. I am not a master of your language.

Q What was the nature of your reports on your trip South in 1942, General?

A Strategical and especially technical questions. For instance, the technique of Japanese landings and defense against them; the technique of night attacks and the defense against them.

Q Did your reports include anything that was going to happen?

A I never received from the Japanese sides any news of what would happen, therefore my reports dealt with what had happened or what I supposed that it might happen.

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Are the air and naval attaches whom you 1 mentioned yesterday still in Japan? 2 The naval attache living at Karuizawa; the 3 4 air attache at Hakone. 5 In your communications did you communicate 6 with Germany through the ambassador or directly with 7 your superior officers? 8 The normal way for my cables was through the ambassador. Besides this I had the chance to send 10 military telegrams which had been shut up by my own 11 office. 12 Now the last few questions: When did Ambassador 13 Ott leave Japan? 14 He left in spring, 1943. A 15 Do you know where he is at the present time? 16 As far as I know, in Peking. 17 General, you stated yesterday in answering 18 Mr. Tavenner's question that Mr. Wohltat and his 19 economic mission arrived in Japan sometime before the 20 outbreak of the German-Russian war. Had this mission, 21 as far as you know, anything to do with the military 22 agreement which was concluded much later, namely, in 23

A I don't know of any connection between this Wohltat commission and the Tri-Partite Pact.

Mr. Howard has a statement to make to the Tribunal before the witness is released.

THE PRESIDENT: Mr. Howard.

IT. HOWARD: May it please the Tribunal,
I should like to inform the Tribunal that the defense expects to use General KRETSCHMER as a witness on two or three more occasions during the trial.
We ask that he be presently released but in the
custody of the Supreme Commander, subject to being
recalled as needed.

THE PRESIDENT: Before we make an order we want to be sure of the need for its terms. What is the reference to the "custody of the Supreme Commander" due to? We understood from Mr. Cunningham yesterday that this man was at liberty now.

IR. HOWARD: Your Honor, he is under subpoena, but he lives in Itami, and rather than state in here that he be required to remain in Tokyo I stated "in the custody of the Supreme Commander." However, that request, "in the custody of the Supreme Commander," that really is not important so far as I am concerned.

THE PRESIDENT: He is released on the usual terms.

(Whereupon, the witness

was excused.)

THE PRESIDENT: Mr. Cunningham.

take up the relations between Japan and Soviet Russia after the conclusion of the Tri-Partite Pact.

I first call to the Court's attention
Article V of the Tri-Partite Pact which says: "Japan,
Germany and Italy affirm that the aforesaid terms do
not in any way affect the political status which
exists at present as between each of the three contracting parties and Soviet Russia" -- exhibit 43.

Exhibit 2735A, Prince KONOYE's Memoir "On the Tri-Partite Pact." transcript pages 24,290-24,307.

exhibit 2735A, Memoir of Prince KONOYE "On the Tri-Partite Pact," and specifically refer to KONOYE's statement that one of the principal aims of the Pact was the improvement of Japanese-hussian relations, pages 4 and 5. The same peaceful intention of the Japanese Government and understanding with Germany in this regard at the time of the conclusion of the Pact is also shown in prosecution's exhibits Nos. 549, 550, 552, and 555, transcript pages 6323 to 6343, 6350 to 6379, 6396 to 6399; and also on 13 April 1941 the Neutrality Pact between Japan and Soviet Lussia was concluded (exhibit No. 45).

I now offer in evidence defense document

No. 1639, a telegram sent by the German Ambassador in Moscow to the German Foreign Minister in Berlin on 13 April 1941, to show the cordial relations between Japan and Russia at the time of the conclusion of the Neutrality Pact, that is, more than half one year after the conclusion of the Tri-Partite Pact.

THE PRESIDENT: Brigadier Nolan.

BRIGADIER NOLAN: May it please the Tribunal, with this document the point has arisen that, although on its face a document may be admissible, having no probative value it becomes inadmissible before this Tribunal. In paragraph 1 we find a German diplomat, Schulenburg, informing the Foreign Minister what the Italian Ambassador had told him that MATSUOKA -- what MATSUOKA had informed the Italian Ambassador was contained in a letter from MATSUCKA to Molotov. In the second paragraph we find the same German diplomat, Schulenburg, informing the Foreign Minister of a question put to MATSUOKA by the Italian Ambassador in Moscow concerning a discussion which took place between MATSUOKA and Stalin. The third paragraph describes the scene at a railway station from which it is sought to draw some conclusions upon international

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relations.

The prosecution submits that the Tribunal, is entitled to better proof of the facts sought to be proved by this document and that it should be rejected in its entirety.

prosecution has asserted that the Tri-Partite
Pact was aimed at them. This answers in some
respect that allegation. You cannot possibly
determine the probative value of this document
by reading it isolated from all other powerful
political documents which preceded it and which
come later.

THE PRESIDENT: What bearing has it on Soviet-Japanese relations? It has some bearing on Soviet-German relations. Schulenburg apparently was, according to him, the recipient of a cordial greeting but he does not say whether what he heard about MATSUOKA came to him from the Italian Ambassador or through somebody else. There certainly is a reference to a Japanese concession at Sakhalin, but how that knowledge came to Schulenburg does not appear.

Kapleau & Wolf

MR. CUNNINGHAM: Well, if it appears from the later documents that that same information came from other sources, I think it is rather immaterial how it came to Schulenburg if it is a fact. And this is the best evidence of this that we have available on this particular topic, aside from the corroboration which comes in the later documents.

THE PRESIDENT: At best, you are tendering out of order. The only parts that bear on the issues are paragraphs 1 and 2, and we do not know really the source of that information.

MR. CUNNINGHAM: Well, let's take 1 and 2 -THE PRESIDENT: There is a link missing
between Schulenburg and the Italian Ambassador.

MR. CUNNINGHAM: Well, let's take 1 and 2 and tie it in later with other documents and I am satisfied that our proof is in order. I had hoped that this short document would be read into the record without many minutes of argument so that we could go on to our next element of proof. It really doesn't justify a half hour of all of our time.

THE PRESIDENT: That is the test, is it, brevity? You can get the most malicious, irrelevant lie in one line, sometimes.

By a majority the Court upholds the objection and rejects the document.

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MR. CUNNINGHAM: I now offer in evidence defense documents 1698 A-H, excerpts taken from a book "Tokyo Record" by Otto D. Tolischus. The parent document is tendered for identification. Mr. Tolischus was a correspondent of the New York Times in Tokyo succeeding Mr. Byas, who is one of the best experts on oriental affairs, in the crucial days of 1941, and met often with responsible people of Japanese public life. His observations will undoubtedly help the Tribunal in understanding the atmosphere of Japan at that time. I might add that Mr. Tolischus had been expelled from Germany on account of his anti-Nazi correspondence before he came to Japan. I will offer the first one first and I want to offer them individually for what each one represents.

I now offer defense document 1698-A as a preliminary document to show the approach of Mr. Tolischus to Japan.

THE PRESIDENT: Brigadier Nolan.

BRIGADIER NOLAN: If it please the Tribunal, to say that this document has no probative value would be to flatter it. In the submission of the

prosecution, it has no value whatsoever in this case.

THE PRESIDENT: A Colleague regards it as an insult to the Court to tender such a document.

MR. CUNNINGHAM: Your Honor, that is a sharp difference of opinion.

THE PRESIDENT: The objection is upheld and the document rejected.

MR. CUNNINGHAM: I now offer in evidence defense document 1698-B, an excerpt from the same book, for the purpose of showing the approach of Mr. Tolischus to the Tokyo atmosphere when he arrived.

THE PRESIDENT: Brigadier Nolan.

BRIGADIER NOLAN: If it please the Tribunal, if that be the purpose for which this document is offered, I submit it ought to be rejected out of hand. I submit that the approach of the author to the Tokyo atmosphere does not concern this Tribunal.

So far as the document itself is concerned, it is pure journalism, an account of a conversation at a luncheon party. If it had appeared in a newspaper, we submit it would have been rejected, and the fact that it appears in a book adds nothing to its probative value.

THE PRESIDENT: We note that MATSUOKA played with his moustache.

MR. CUNNINGHAM: Well, that was a characteristic of MATSUOKA. Your Honor, he also engaged in power politics, which is the subject at hand here for investigation, and I think that what MATSUOKA said to the reporter of the <a href="New York Times">New York Times</a> was making history at that time contemporaneously with the happening of these great historic events.

THE PRESIDENT: I notice, too, that observations of MATSUOKA did nothing more than draw smiles from the people who heard them, including this man. We are asked to believe what they found incredible.

MR. CUNNINGHAM: No, your Honor --

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THE PRESIDENT: I notice, too, that observations of MATSUOKA did nothing more than draw smiles from the people who heard them, including this man. We are asked to believe what they found incredible.

MR. CUNNINGHAM: No, your Honor --

THE PRESIDENT: If this is admitted at all it must be for what MATSUOKA said bearing on issues, and one of those things the people who heard him did not believe.

MR. CUNNINGHAM: Well, your Fonor, what MATSUOKA said about the Tripartite Pact in this second page seems to hit right on the nose what we are trying to show here and he said it then, he said it again and again and again, and he wasn't making people smile later on when he said it.

THE PRESIDENT: Why should all these irrelevancies be read into the record? There may be a statement of fact here and there, but why put in all this rubbish?

MR. CUNNINGHAM: Your Honor, that is the observation I made when you were admitting the prosecution's evidence, but I had no control over that.

THE PRESIDENT: That is not so. The prosecution read their material down to what was relevant and material, and we saw to it.

MR. CUNNINGHAM: Your Honor, if you will read my objections then you will see they are just exactly the same contentions as I contend now. I ask that parts be read into the record, on page 2, about what MATSUOKA said about the writing of the Tripartite Pact.

Now, he didn't say that to anybody else in the world probably, that one statement he made to this reporter, and that specific language was probably the only utterance MATSUOKA ever made exactly stating those particular words, and that is what I would like to have in the record.

THE PRESIDENT: By a majority the Court upholds the objection in part, but it admits the document on the usual terms to this extent only: that part on page 2 commencing with the words "What about the alliance?" down to the end of the document.

MR. CUNNINGHAM: I would like to read it after the recess.

CLERK OF THE COURT: Defense document 1698, being the <u>Tokyo Record</u>, will receive exhibit No. 2752, and the excerpt therefrom will receive exhibit No. 2752-A.

(Whereupon, the document above referred to was marked defense exhibit 2752; the excerpt therefrom being marked defense exhibit 2752-A. and received in evidence.)

THE PRESIDENT: We will recess for fifteen minutes.

(Whereupon, at 1049, a recess was taken until 1100, after which the proceedings

were resumed as follows:)

MARSHAL OF THE COURT: The International M Military Tribunal for the Far East is now resumed. 0 r THE PRESIDENT: Mr. Cunningham. 3 e MR. CUNNINGHAM: I now offer to read exhibit & 4 2752-A, page 2, commencing with the words: 5 L "'What about the alliance with Germany and efi 6 Italy?' I asked. 'America feels very strongly about it.! 7 "MATSUOKA looked up sharply. Then he said: 8 "'I wrote that treaty myself and I conducted 9 all the final negotiations with the Germans. I know 10 just what that treaty means. And I can tell you that 11 there is nothing in that treaty compelling Japan to go 12 to war in the Pacific. I told the Germans so, and they 13 accepted my interpretation. We'll decide for ouselves 14 15 what we are going to do.' "'Ah,' I thought, 'a proviso I did not know 16 17 about.' 18 "'But will the Army agree?' I ventured to 19 suggest. 20 "MATSUOKA played with his mustache. 21 "'Well, ' he finally replied, 'it's a question 22 of personal trust. I am willing to stake my whole 23 political career on this. If I should fail, I would 24 withdraw from politics entirely. But if President 25 Roosevelt would only trust me, I can guarantee that I

TR. CUNNINGHAM: Next, I would like to take up the relations between Japan and Soviet Russia after the conclusion of the Tri-Partite Pact.

I first call to the Court's attention
Article V of the Tri-Partite Pact which says: "Japan,
Germany and Italy affirm that the aforesaid terms do
not in any way affect the political status which
exists at present as between each of the three contracting parties and Soviet Russia" -- exhibit 43.

Exhibit 2735A, Prince KONOYE's Memoir "On the Tri-Partite Pact," transcript pages 24,290-24,307.

exhibit 2735A, Memoir of Prince KONCYE "On the TriPartite Pact," and specifically refer to KONCYE's
statement that one of the principal aims of the Pact
was the improvement of Japanese-hussian relations,
pages 4 and 5. The same peaceful intention of the
Japanese Government and understanding with Germany
in this regard at the time of the conclusion of the
Pact is also shown in prosecution's exhibits Nos.
549, 550, 552, and 555, transcript pages 6323
to 6343, 6350 to 6379, 6396 to 6399; and also on
13 April 1941 the Neutrality Pact between Japan and
Soviet Russia was concluded (exhibit No. 45).

I now offer in evidence defense document

to show that the purpose of MATSUOKA's visit was something else. We are trying to show, by this, the real purpose. This is the manner in which the people of the United States of America were informed as to the purpose of MATSUOKA's visit to Moscow and Berlin.

THE PRESIDENT: By a majority, the objection is upheld and the document rejected.

MR. CUNNINGHAM: I now offer in evidence defense document 1698-E, which I do not care to take too much time to debate. I just ask the Court to consider it for what light it throws upon, and I ask that I be permitted to read it without explanation.

THE PRESIDENT: Brigadier Nolan.

BRIGADIER NOLAN: If it please the Tribunal, we object to the document. It purports to contain an account of what appeared in a Japanese newspaper concerning an interview with Prince KONOYE. The Tribunal, we submit, would not admit the newspaper containing the interview and will not admit a secondhand account such as this.

THE PRESIDENT: The Tribunal upholds the objection and rejects the document.

MR. CUNNINGHAM: I now refer to defense exhibit No. 2735-A, at pages 24,280 and 24,307, Prince KONOYE's memoir on the Tri-Partite Pact, in which Prince KONOYE stated that Germany went to war against Russia despite the Japanese urging not to do so, and that the German-Russian war shattered the very foundation of the Tri-Partite Pact.

I now offer in evidence defense document 206-E (82), an excerpt taken from Ambassador Grew's book "Ten Years in Japan," which is his diary of 26 June 1941, to show that Grew recognized that the German-Russian war had shattered the foundation of the Tri-Partite Pact.

THE PRESIDENT: Brigadier Nolan.

BRIGADIER NOLAN: If it please the Tribunal, the first paragraph, as will be observed, commences with the two words "we learn." The source of this information is not divulged. The second paragraph sets out the opinion of Mr. Grew of the consequences of the Soviet-German war.

We submit that the document has no probative value and should be rejected in its entirety.

MR. CUNNINGHAM: Well, your Honors, if Mr. Grew was here, this question would be put to him, and we cannot help but assume that he would answer the question now just as he noted the question in his book so many years ago when the events were taking place. It was his responsibility to keep his government

informed on the political significance of the historic events which were taking place at that time. Until a better authority comes along, we must take his word as the best living authority on Japanese-American relations and reactions. These two statements go pretty much to the heart of a couple of the vital issues involved in this case.

THE PRESIDENT: The Tribunal upholds the objection and rejects the document.

MR. CUNNINGH M: I next call the Court's attention to prosecution exhibit 805, transcript pages 7,981-7,982, telegrams sent from the Foreign Office in Tokyo to the Japanese Embassy in Berlin on 6 December 1941, which shows that Japan wanted absolutely to keep peace with Russia, even at the risk of postponing the conclusion of the No-Separate Peace Treaty. I will read from exhibit 805 the part not read by the prosecution. Page 1, marked -
THE PRESIDENT: Mr. Comyns Carr.

MR. COMYNS CARR: Your Honor, if my friend reads the first paragraph it will be apparent that his description of the contents is entirely inaccurate.

THE PRESIDENT: Read the part not read by the prosecution but in evidence. We will form our own conclusion.

MR. CUNNINGHAM: I read from exhibit 805 of the prosecution:

"From the standpoint given"---

Page 1, beginning with the second paragraph.

The first paragraph was read by the prosecution, as

I understand it.

"In doing this, explain to them at considerable length that insofer as American materials being shipped to Soviet Russia through any point lying within

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the scope of our intelligence are concerned, they are neither of high quality nor of large quantity, and that in case we start our war with the United States we will capture all American ships destined for Soviet Russia. Please endeavor to come to an understanding on this line.

"However, should Foreign Minister Ribbentrop insist upon our giving a guarantee in this matter, since in that case we shall have no other recourse, make a -- statement to the effect that we would, as a matter of principle, prevent war materials from being shipped from the United States to Soviet Russia via the Japanese waters and get them to agree to a procedure permitting the addition of a statement to the effect that so long as strategic reasons continue to make it necessary for us to keep Soviet Russia from fighting Japan (what I mean is that we cannot capture Soviet ships), we cannot carry this out thoroughly.

"In case the German Government refuses to agree with 1 and 2 and makes their approval of this question absolutely conditional upon our participation in the war and upon our concluding a treaty against making a separate peace, we have no way but to postpone the conclusion of such a treaty. This point is intended for you to bear in mind."

I now call the Court's attention to prosecution exhibit 812A, transcript page 8014 to 8020, memorandum regarding conversation between OSHIMA and Ribbentrop on 6 March 1943, which shows clearly that the Japanese Government also in 1943 refused the German request to go to war against Soviet Russia.

I call the Court's attention to exhibit 2693, transcript page 23,559-23,560, excerpts from the interrogation of OSHIMA, Hiroshi on the 22nd of April 1946, which shows that Japan continued to refuse the German request to join in the war against Soviet Russia.

THE PRESIDENT: Mr. Comyns Carr.

MR. COMYNS CARR: May it please the Tribunal, the prosecution must again protest against the defense introducing comments on prosecution exhibits which entirely misrepresent their character. If the Tribunal will look for themselves at exhibit 812A they will see that my friend's comment is misleading.

THE PRESIDENT: We will decide these matters for ourselves. Mr. Cunningham does not follow the conventional form of making submissions, but he makes assertions.

MR. CUNNINGHAM: Well, if your Honor please, I hope you don't think I have the time or energy to

peruse all the documents and the recommendations that ere made to the documents. MR. COMYNS CARR: Your Honor, that is why the prosecution objects to misleading comments upon other parts of the case. THE PRESIDENT: They offend and provoke, but they do not mislead, rest assured. MR. CUNNINGHAM: Well, there is no intention to do either; your Honor, and we are only adopting the same procedure which was followed by the prosecution.

THE PRESIDENT: The prosecution did not offend in that respect, nor did other defense counsel --American defense counsel.

MR. CUNNINCHAM: I didn't hear that last. (Whereupon, the statement of the President was read by the official court reporter.)

MR. CUNNINGHAM: Well, I should like to have your particularize, your Honor, if you are making an allegation against me.

THE PRESIDENT: The transcript is your answer. MR. CUNNINGHAM: Well, by the same token, that is my only answer to the Tribunal.

I now call attention of the Court to exhibit

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2676, transcript pages 23,303-23,345, affidavit of TANAKA, Shinichi, to show that the Tri-Partite Pact never affected in any way the annual operational plan of the Japanese Army against Russia, and that Japan did not feel obliged to help Germany in case of German-Russian war. Page 6, item 9, of the affidavit concerns this point.

Proof will now be offered as to the lack of Japanese-German cooperation in the matter of Indo-China. Prosecution's charge that Japan utilized German pressure in the negotiations with the French Government concerning French Ind :- China will be refuted. I should modify that to say at least attempt at refutation.

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Defense document 1646, the statement of the Governor- General of French Indo-China, 24 September 1940. First, I offer in evidence a statement of the Governor-General of the French Indo-China, 24 September 1940, which appeared in the Asahi Shimbun, to show that the entry of Japanese troop in northern French Indo-China in September 1940 was voluntarily agreed between the governments of Japan and France.

THE PRESIDENT: Mr. Oneto.

MR. ONETO: We object to document 1646, and ask the Court to reject it. This document has no probative value. It deals with information published in a Japanese newspaper emanating from the Domei News Agency, which, in turn, claims to have received it from another news agency. It is not even hearsay, but hearsay from hearsay. Such a document ought not to be taken into consideration by the Tribunal in view of its usual ruling in this case. It is not the proper method of proof, and such a matter must be proved in the proper way.

MR. CUNNINGHAM: I only offer to read the quotation, the statement of the man who was responsible for stating the policy and the situation as he found it.

If the French prosecutor can say that this statement is not true, then perhaps it should not be

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admitted, but until they show evidence to the contrary, I think this should be admitted.

THE PRESIDENT: By a majority, the Court upholds the objection and rejects the document.

MR. CUNNINGHAM: I now offer in evidence defense document No. 1640, a memorandum signed by Woermann dated 23 July 1941, to show that the French Government came to an agreement with Japan concerning joint defense of French Indo-China without any pressure from the German Government, and that therefore no collaboration between Japan and Germany existed with respect to this matter.

Mr. Levin will take this over for a minute.

MR. LEVIN: (Reading) "Berlin, 23 July 1941."

THE PRESIDENT: Mr. Levin, it hasn't been dealt with yet.

MR. LEVIN: Yes.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document 1640

will receive exhibit No. 2753.

(Whereupon, the document above referred to was marked defense exhibit No. 2753 and received in evidence.)

MR. LEVIN: I now read defense document

2753 -- rather, defense exhibit.

"Berlin, 23 July 1941.

"Minister Cosmelli brought out today, as announced by the telegram No. 636 of 22 July from Rome, the following:

"The Japanese Ambassador asked upon instruction the Italian Government to take steps to the French Government in order to support the Japanese note to France concerning the concession of fleet bases, etc., in Indo-China. Anfuso answered that Italy is not represented in Vichy and that (which is not mentioned in the telegram from Rome) the contact with the French Government exists only through the armistice commission, which cannot usually be appealed concerning such questions.

"Mr. Cosmelli inquired upon instruction whether we received corresponding Japanese request and whether we had taken a step in Vichy.

"I told Mr. Cosmelli that the Japanese
Government informed us of the matter and also expressed
the wish that we may use our influence on Vichy.

Meanwhile however the French Government has" -- there
is a word there that is not readable -- "accepted the

Japanese requests, although with some changes and with
the statement that this happened under duress. The

French Government declared to us that it wanted to

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make contact with us beforehand, but the Japanese requests were so urgent that it could not do so. Under these circumstances there was no case for us to use our influence on the French Government. The Japanese Government knows that we shall not obstruct her in the matter."

We now offer in evidence defense document 206-E(87), an excerpt taken from Ambassador Grew's book, "Ten Years in Japan," which is his diary of 25 July 1941, to show that the official spokesman of the French Government declared at that time that the occupation of strategic points in southern French Indo-China by Japan was carried out as a result of voluntary agreement between Japan and France without German pressure.

THE PRESIDENT: Mr. Oneto.

MR. ONETO: If the Tribunal please, we object to this document and ask the Tribunal to reject it.

United States Ambassador Grew, entitled "Ten Years in Japan." Mr. Grew did not hear this declaration directly. By the context, we assume that he learned it from newspapers or from some other undeclared source. Therefore, Mr. Grew does not know it from his own knowledge. It is mere hearsay. This excerpt is

without probative value and does not constitute the proper method of proof. For this reason, I ask the Tribunal that this document be rejected.

MR. LEVIN: We submit, if the Tribunal please, that the reasons assigned for not admitting this document in evidence are not very valid. The principal argument of the French prosecutor is that this is largely hearsay, and naturally we will concede that to be a fact. However, the ambassador from the United States obtained his information in the course of his duties, made a note of that information, and has recorded it; and, therefore, it is certainly admissible here. It seems to be a record of contemporaneous information which he received.

THE PRESIDENT: By a majority, the Court upholds the objection and rejects the document.

MR. LEVIN: I now offer in evidence defense document 1683, an official document of the Japanese Foreign Office, which is the record of conversation between Foreign Minister TOYODA and Ambassador Grew on 26 July 1941 concerning entry of Japanese forces into southern French Indo-China and American countermeasure thereto. Foreign Minister TOYODA explained the purpose of Japanese advance and denied any German pressure or collaboration with regard to this question.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document 1683 will receive exhibit No. 2754.

(Whereupon, the document above referred to was marked defense exhibit No. 2754 and received in evidence.)

MR. LEVIN: I now read exhibit 2754. I omit the formal part.

"1. The Minister first asked the Ambassador if he reported to his government on the matters dealt with in detail last night, and Ambassador Grew replied to the effect that he had 'telegraphed the whole text of your memorandum.'

any efforts to exert some of your influence upon your government in this respect?' To this the Ambassador replied, 'I am very sorry that the situation has become too seriously strained to allow any individual influence to compromise the measures taken by America; now all is out of my hands.' Saying that the Minister should have been informed by the report of Ambassador NOMURA, Ambassador Grew read out the full text of the copy of a telegram concerning the talks at the interview between Ambassador NOMURA and Mr. Welles, the Under Secretary of State, on July 23.

"To the question of the Minister as to whether there was any room for consultation about the measures taken by the American Government with regard to the arrangement which the Japanese Government had adopted toward French Indo-China, the Ambassador replied as follows:

1 "Under the present situation, there seems
2 to be no room for it. America has offered a period of
3 time in which Japan might arrange according to the prin4 ciple of free trade and economical equality and make
5 some orientation of internal public opinion for that
6 purpose. This proposal of America should have been,
7 at the same time, useful for Japan too. As such is
8 the situation, I should like to ask you whether there
9 is no room to refrain from the recent occupation by

"To this the Minister instantly and definitely replied, 'No.'

the Japanese forces.'

"3. Then the Minister related that the recent advance of Japanese forces into Southern French Indo-China was, as explained in detail last night, nothing but an unavoidable measure forced upon Japan for the maintenance of peace in the Pacific in consideration of the circumstances strengthening the anti-Japanese enveloping campaign and implied no other intention.

"To this Ambassador Grew replied that he could not help regretting the difference of opinion with regard to the so-called anti-Japanese envelopment the Minister referred to. Though America had firmly held the policy of 'no threat' toward Japan, she couldn't help but feel that, in view of the attitude which had

hitherto been taken by the Japanese Government, Japan was preparing for a southward advance policy at the sacrifice of other countries in each case.

"So the Minister repeatedly emphasized that there were misunderstandings in this regard on the side of America. Ambassador Grew, however, persistently repeated that America had no choice than to resort to 'acts and facts' as the standpoint from which to judge the attitude of Japan.

"4. Then the Minister referred to the substance of the copy of the telegram which Ambassador
Grew has just read and revealed his views on the matter
in detail, asking the Ambassador's explanation on the
following points:

"(a) According to the view of Under Secretary
Welles, the recent measures taken by Japan toward French
Indo-China seemed as if taken under the pressure of
Nazi Germany. This, however, is a false view absolutely contrary to the facts. Japan adopted the recent
measures as the result of peaceful agreement between
the governments of Japan and France for the defense
of French Indo-China. (Ambassador Grew declared on
this point that he was very glad to hear the Minister
make this explanation and that he would report on this
point by wire to his home government without fail.)

Frankly, French Indo-China authorities themselves are so anxious that they cannot be sure that French Indo-China in the future would not follow the same course as Syria.

"(b) Under Secretary Welles regarded the recent advance of Japanese forces into Southern French Indo-China decisively as if it implied the intention of making it an advance base for another area. This is also an absolute misunderstanding. As I stated repeatedly, the aim of our recent measures is nothing but the maintenance of peace in the Pacific and implies no false intention. I feel the utmost regret that America took such measures as the recont ones in spite of the sincere desire of the Japanese Government not ot provoke such ones on the part of America.

"(c) The statement of Under Secretary Welles contains the term 'no basis for continuing, etc.' as Secretary of State Hull's words. Does it mean the closing of the talks which are now in progress concerning the readjustment of the diplomatic relations between Japan and America? (To this Ambassador Grew replied that although he had not sufficient knowledge about the contents of this talk and was not in a position to say anything on this matter, it can be construed, according to his merely private opinion, as not to have

meant the closing of the talk, because it contains the term 'unable to see, etc.' In short, nothing can be said so far as the telegram is concerned.)

"The Minister then asked the Ambassador to disclose his unreserved private opinions on this talk under tacit agreement as being off-the-record, which the Ambassador refused to do on the pretext that he knew nothing about the matter.

"The part of the telegram which the Minister referred to reads as follows: 'Mr. Hull was unable to see that any basis was offered for continuing the talks which Admiral NOMURA and Mr. Hull had been conducting.'

regretted to see American-Japanese diplomatic relations reaching the recent stage within a week after the formation of the new cabinet in Japan. This was utterly to be attributed to the misunderstanding by America of the true intention of the Japanese Government. Considering its firm desire to check such a result, the Imperial Japanese Government deeply regretted to see it.

"To this Ambassador Grew replied that he had regretted seeing public opinion in Japan emphasizing only American misunderstanding of Japan's real intention and the newspapers reporting merely on discussions among

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leading circles in all quarters in Japan to blame the so-called misunderstanding on the part of America with some degree of unwillingness to report on the real policy of America. He was very glad to know that the recent entry of Japanese forces into French Indo-China was, according to what the Minister had just expressed, nothing but a peaceful advance. He had deeply deplored that America had been forced to take the recent measures against Japan. He heartily hoped that the relations between the two countries would not become worse than ever.

the American-Japanese relations would face the unhappiest stage in case more irritating measures against Japan should be taken by the American Government. He wished especially to emphasize this point. Frankly, the Japanese Government had made every effort to suppress the unpleasant feeling cherished by its nation at the enforcement of aid to the Chiang Rogime by the American Government. But it would fall into a more difficult stage if the situation should become worse than ever.

regard the situation had been just the same in America.
In spite of the daily intensifying pressure of public opinion demanding the pateriment to take more rigorous

measures against Japan, such as the anti-Japanese petroleum embargo, the American Government had not yet gone so far as to carry out the petroleum embargo. In this regard the public in Japan had not been given any knowledge of the real facts concerning the American policy or her true intention of aiming to keep friendly relations with Japan. The Ambassador, however, had been optimistic regarding the improvement of American-Japanese relations. At the time of the YONAI Cabinet, he held strictly confidential talks in camera with Foreign Minister ARITA to improve the diplomatic relations between the two countries. This talk, however, met with failure on the very eve of its closing as the cabinet unfortunately clashed. This time there occurred the recent entry of the Japanese forces into French Indo-China too, when the conversation on the readjustment of American-Japanese diplomatic relations was going on. He could not help denouncing it as contrary to the whole spirit of the conversation. But he did not necessarily regard it as doing away with hope for the future. He wished to be relied upon as he would do his best in this regard. "7. The Minister related that he was sorry

to see the recent stage, considering especially that

it was immediately after his assumption of the post of

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Foreign Minister. He wished to ask the Ambassador for his cooperation with the Minister's effort to do his best. To this Ambassador Grew replied that he wished as well not to give up hope for the future despite the present situation.

"The interview was closed with the promise of Ambassador Grew to send a report on today's talks by wire to his home government."

THE PRESIDENT: Will you finish this section of the defense case tomorrow, Mr. Cunningham, or can we expect to be still sitting on Monday?

MR. CUNNINGHAM: The answer to that, your Honor, lies here more than here (indicating). But I shall try very hard to finish today, but--

THE PRESIDENT: We have heard enough. We don't want any further discussion.

MR. CUNNINGHAM: May I say one more word that has been provoked? I should not like to penalize my colleagues by hurrying to get through coday and take a day off their recess, don't you see.

THE PRESIDENT: It is not necessary to get through today to save that day of the recess; you still have tomorrow.

MR. CUNNINGHAM: Well, my thought was, does the recess begin on the 23d whether I finish this

afternoon or tomorrow noon? THE PRESIDENT: It is fixed to begin on the 23d of June. If you finish many days before we will regard the recess commencing as of the time you finished. But don't keep us here just for the sake of giving your colleagues another day's recess. We will adjourn until half past one. (Whereupon, at 1200, a recess was taken.) 

Greenberg & Reichers

## AFTERNOON SESSION

The Tribunal met, pursuant to recess, at 1330.

MARSHAL OF THE COURT: The International Military Tribunal for the Far East is now in session.

THE PRESIDENT: Mr. Cunningham.

MR. CUNNINGHAM: If the Tribunal please, I now offer in evidence, on the same subject as the one before lunch, defense document No. 1682, an official document of the Japanese Foreign Office, record of conversations between Foreign Minister TOYODA and Ambassador Grew on the 27th of July, 1941 concerning the entry of Japanese forces into Southern French Indo-China, and to show that TOYODA, in the conversation, also denied emphatically any German pressure in the matter.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document 1682

will receive exhibit No. 2755.

(Whereupon, the document above referred to was marked defense exhibit No. 2755 and received in evidence.)

MR. CUNNINGHAM: I now offer to read defense exhibit 2755, the record of the conversation between Minister TOYODA and Ambassador Grew on the 27th of

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July. These conversations were conducted strictly
   confidentially and informally, off the record, at the
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   request of the Ambassador. (Reading)
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"AMBASSADOR GREW: 'The reason why I wanted to see you today is because I have just received a telegram from my Government, which I have just read and found it to be of great importance and I wanted to inform you of it immediately. I am doing this at my own discretion and not by any instructions from my Government. Therefore, I should like to talk with you strictly confidentially and informally "off the record". Then he read the entire copy of the telegram concerning the contents of the strictly confidential, informal and "off the record" conversations between Ambassador NOMURA and the American President, conducted on 24 July at the White House at the request of the Ambassador.

"The points referred to by the President in the conversations are as follows:

"1. 'As the American President, I have still been permitting the export of oil to Japan in spite of the strong pressure of American public opinion, because I earnestly hope that the relations between Japan and America should not become worse.'

"2. 'The ambition of the Nazi Germans to conquer the whole world is now menacing more than

ever and this menace will not only affect the Western World but will extend to the Far East.

"3. 'With regard to the encirclement against Japan, America is not taking these steps in the sense of turning against Japan but merely to guarantee the acquisition of her industrial raw materials. And therefore the steps taken by America are ones of self-defense.'

"4. 'In case Japan should send her forces to the Netherland Indies, Great Britain would immediately stand up to help them and, in view of the present close relations between Great Britain and America, we too would be obliged to wage war against Japan.'

if Japan would refrain from occupying French IndoChina or, in case such steps have already been begun, would withdraw such forces, I as the President,
am prepared to guarantee to the Japanese government
that I would do everything in my power to obtain
from the Chinese government, the British government, and the Netherlands government, and the
Government of the United States would of course
itself give a binding and solemn declaration to
regard French Indo-China as a neutralized territory.'

"FOREIGN MINISTER: 'I should like to say on a few points that have caught my attention regarding the contents of the telegram.

"1. 'The steps taken by the Japanese government this time to advance her troops to French Indo-China were never enforced by the pressure of the Nazi Germans, but were taken according to Japan's own independent view. Moreover, ours is not such a state that would do anything because of the pressure of Nazi Germans.'

"Regarding this point, Ambassador Grew replied:

Government on the point you have just made, because you strongly asserted the point in our previous conversations.'

"The Foreign Minister reiterated:

"'According to my opinion, I am afraid the
American Government has a prejudice against the
Nazi Germans.'

"Then Ambassador Grew replied:

"The American Government is obliged to acknowledge through experience the fact that the Nazi Germans have a scheme to conquer the world and are putting the plan into practice.

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meetings, the purpose of the steps taken this time to advance our troops to French Indo-China was nothing but defensive and precautionary measures on our part to cope with the situation which indicated a formation of an encirclement against Japan and we had no other intention whatsoever.

"Ambassador Grew replied that concerning encirclement, the President had touched upon it in his talk with Ambassador NOMURA.

"Then the Foreign Minister, in order to confirm again the contents of the latest American proposal, requested him to paraphrase a part of the copy of the telegram.

"Thereupon, Ambassador Grew consented to his request to take notes on condition that the Minister should keep it absolutely secret and for his own information only.

Japan and America are getting worse and worse and are even threatening to deteriorate, Ambassador Grew reiterated and expressed his hope that the Foreign Minister would dwell upon the American proposal and use his statesmanship to tide over the present crisis.

"The Foreign Minister replied:

ador has said just now. To my regret, however, it is too late to think over the proposal. Besides concerning the proposal of the President, I have received no report yet from Ambassador NOMURA, and therefore I cannot give the proposal any consideration immediately. But I promise you that I will give the matter careful consideration upon receipt of a report from Ambassador NOMURA.

"Here Ambassador Grew repeatedly called the attention of the Minister and said:

fering in the internal affairs of Japan. Up to this moment, the Japanese government has tried to check the excited feelings of the nation, but the report that the American Government has recently frozen the Japanese funds in America greatly stimulated the feelings of the Japanese people. Under such circumstances it is absolutely impossible to take up immediately the proposal of the American President. Anyhow, I regret very much to say that the proposal is too serious for me to handle it single-handed.

"Then Ambassador Grew said:

ant the time element is in a matter such as this.

Besides, I myself am here with no authority whatsoever. The contents of the telegram however,
are so serious that I did not wish to lose even a
moment in seeing and informing you of them. So
whenever you want my assistance, please do not
hesitate to call me.'

"With that the interview came to an end."

I now offer in evidence defense document 206E(93), an excerpt from Ambassador Grew's book, "Ten Years in Japan," which is his diary of the 18th of August, 1941 concerning the conversation with Foreign Minister TOYODA, to show that Ambassador Grew was told categorically that no Japanese-German collaboration existed, in Indo-China matter, and Japan kept the negotiation with the United States of America secret to Germany. I might note that no place else is this conversation reported, as I understand THE PRESIDENT: Admitted on the usual terms. CLERK OF THE COURT: Defense document 206E(93) will receive exhibit No. 2756. (Whereupon, the document above referred to was marked defense exhibit No. 2756 and received in evidence.) 18 MR. CUNNINGHAM: I think I will out it and go from page 1 to the middle of page ?. and then from No. 11 on page 4. The rest is porhabs somewhat 22 repetitious. I now offer to read defense document 206E(93)

which is exhibit No. 2756. (Reading)

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"The Foreign Minister asked me to call this afternoon and we had the longest conversation that I have ever had with any Foreign Minister anywhere. It lasted for two and a half hours and the boys in the Code Room finished encoding my report at 5:35 A.M., while I myself was on the job until long after midnight.

"Appropose this conversation, it was a fearfully hot day, and as I wrote down his remarks, it
was drip, drip, drip, so after the first hour
Admiral Toyoda ordered cold drinks and cold wet
towels to swab off with. He made a gesture to
take off his coat and looked at me smilingly and
questioningly. Of course I nodded, so we both took
off our coats, rolled up our sleeves, and again
pitched in to the work. The Minister speaks
English moderately well and understands whatever I
say without interpretation, but he always has
INAGAKI, one of the American Bureau of the Foreign
Office, present to interpret his own remarks after
he gets to the "on-the-record" stage.

"Today the talk was so important that I wrote down everything he said, about a dozen pages of foolscap, and I almost had writer's cramp at the end. He is a sympathetic and very human type and

I think I like him more than any other Foreign
Minister I have ever dealt with. Our personal
relationship is very friendly. Today, while we
were swabbing off with the cold towels, I said,
"Admiral, you have often stood on the bridge of a
battleship and have seen bad storms which lasted
for several days, but ever since you took over
the bridge of the Foreign Office you have undergone one long, continuous storm without any rest.
You and I will have to pour some oil on those
angry waves." The Minister laughed heartily and
I guess he will relate that remark in cabinet,
but he missed the opportunity to say: "All right,
but if you stop sending us the oil, what are we
going to do about it?"

"Our conversation began at 4 o'clock this afternoon and in an oral statement which took two hours and a half to be delivered, interpreted from Japanese into English and transcribed by me, Admiral TOYODA set forth a proposal of prime importance for solving the present critical situation between Japan and the United States. He pointed out the supreme importance of avoiding any leakage, especially, he said, to the Germans or Italians, and he hoped that in my report to Washington no risk would be incurred

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of my telegram being read by others. I said that the telegram would be sent in a code which I hoped and believed was unbreakable: I said that so far as I was concerned the only persons who would be informed of the proposal would be Mr. Dooman and my confidential secretary, Miss Arnold, who would transcribe the conversation. The Minister seemed to be entirely satisfied with these assurances.

"The Minister commenced by stating that this was to be a long and strictly confidential talk on a very important matter in which he asked for my cooperation. He said that he would speak to me frankly as a navel officer and not as a career diplomat. I replied that I myself had no use for Old World diplomacy and was accustomed to speaking also with the utmost frankness and straight from the shoulder. What follows is a paraphrase of the summary of Admiral TOYODA'S remarks:

"1. The stationing of Japanese forces in Indo-China, resulting from the protocol for the joint defense of Indo-China, was a peaceful and protective step taken on Japan's own initiative and no German or other pressure had been exerted.

"2. In spite of the foregoing assurances

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conveyed to me and also to Admiral NOMURA, the
United States had assumed that this was the exercise of armed force at the instigation of Germany
and had taken an economic step (our freezing order)
which had brought our countries very near to a complete severance of economic relations and had left
a big black spot on the long history of peaceful
relations between the United States and Japan.

"3. The Japanese people, said the Minister, share his view and public opinion has become extremely excited, but the Government has done all in its power to repress this excitement by prohibiting posters, public gatherings, and hostile press comment.

"4. The reply of the Japanese Government to the President's proposal of July 24 was sent immediately to Washington, and when Secretary Hull returned to Washington on August 6 Ambassador NOMURA had handed him the reply. This reply had been drafted after careful and complete study and with a view to meeting as far as possible the intentions of the American Government.

"5. The Japanese reply contains important proposals which would bind both Governments; Japanese forces in Indo-China would be immediately withdrawn when the China affair is settled; it contains

three points binding each party.

"6. Nevertheless, the President's proposal was an independent one dealing exclusively with the joint-defense measure of Indo-China. The Japanese reply was also accordingly restricted to that subject and was to be dealt with independently of the general adjustment of relations which hed been discussed between Secretary Hull and Admiral NOMURA."

Paragraph 11 on page 4:

"Admiral TOYODA fears that the breakdown of peace between the two countries would not only be an extremely miserable matter in itself; Japan and the United States, as the last two countries which hold the key for maintaining world peace in the present state of the world, would make a bad situation still worse by failing to practice statesmanship. This would leave the blackest spot on human history, and future historians would find themselves unable to understand the nature of the breakdown. Finally, if we as statesmen allow such a situation to arise it will mean that we have failed in our responsibilities to both peoples."

No. 20 on page 6:

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"The foregoing is the substance of the highly serious and absolutely secret proposal for which the Minister especially asked me to visit him today. In view of its importance and delicate nature he does not need to ask me to keep this only to myself, as it is not difficult to imagine what would occur if 21 it should leak out. This is the reason why he has so far been instructing only Admiral NOMURA to discuss and to dispose of the matter in the United States, but in order to make this proposal realized he has most frankly expressed his opinion to me so

that he may have my halpful cooperation, and, if there should be any question concerning this proposal, he will be very glad to talk it over with me."

Next I propose to show that the Japanese Government, right after the conclusion of the Tri-Partite Pact, reopened the efforts for improving the Japanese-American relations, and that during the informal negotiations between Japan and the United States, which was kept secret to Germany, German suspicion as to the attitude of Japan grew and the Japanese-German relations suffered set-back.

I now offer in evidence defense document
No. 401B(55), an excerpt from "Foreign Relations of
the United States," which is a telegram from Steinhardt, United States Ambassador in Moscow, to the
Secretary of State, dated 11 April 1941, to show that
MATSUOKA endeavored in Moscow to spin a thread of
negotiations with the United States of America
through Steinhardt, and also declared that he did not
commit anything in Berlin to the Germans. This is a
telegram of the same nature as the one sent on 24
March 1941, which is prosecution's exhibit No. 1289,
transcript pages 11,686-11,687, to which I call the
Court's attention.

THE PRESIDENT: Admitted on the usual terms.

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CLERK OF THE COURT: Defense document 401B(55) will receive exhibit No. 2757.

(Whereupon, the document above referred to was marked defense exhibit No. 2757 and received in evidence.)

MR. CUNNINGHAM: I read exhibit 2757, excerpt from "Foreign Relations of the United States," skipping the formal parts. (Reading)

"The Ambassador in the Soviet Union (Steinhardt) to the Secretary of State (Substance)

"Moscow, April 11, 1941 - 5 p.m.
"(Received 9:50 p.m.)

"This afternoon I called on MATSUOKA and, with reference to the conversation I had with him on April 8, I read to him the following set of excerpts from the report I had drawn up of what he said in the conversations:

"'(1) Mr. MATSUOKA had made no commitments either to Berlin or to Rome.

"'(2) Japan's reason for entering the Tri-Partite Pact was preservation of the peace.

go to war with the United States, but the situation might not be the same if the United States declar war on Germany.

"1(4) The Japanese Minister does not expect

a declaration of war on the United States by Germany, but if that action does take place, the Minister hopes that the United States will not make any more in the Pacific until Japan shall have made here position clear. "'(5) Japan's obligation under the Tri-Par-

tite Pact will be adhered to by the Government.

"'(6) Both Ribbentrop and Hitler had expressed to the Minister their desire that the sphere of war be limited, and had stated to him that involvement in a war with the United States was not their desire.

"(7) The suggestion had been made to the Minister by Ribbentrop and Hitler that steps be taken by him leading to the discouragement of anti-American agitation in Japan.

"1(8) All three men had expressed desire for peace.

Hi(9) Hitler's personal impression on the Minister had been favourable.

"'(10) Admiration for the way the British were fighting was expressed by Ribbentrop.

"(11) Hitler would not attempt an invasion of Britain unless it became accessary, as his expectation was that he would win the war by aerial and

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"(12) Mr. MATSUOKA was unable to see any possibility that Britain would drive a wedge between Italy and Germany, Italy being in large measure already under German control. "1 (13) Russian demands had been so excessive that Mr. MATSUOKA had not made any real progress in his talks with the Russians.

submarine activities against that country.

"1(14) It was possible for the United States to be indifferent to the USSR, but Japan's alternatives were either to reach an agreement or to become embroiled.

Spratt & Yelden

"(15) The Minister desired that the war in China be terminated, and he had suggested that President Roosevelt could bring this about if he indicated to General Chiang Kai-Shek that further assistance would not be forthcoming from the United States should the latter refuse a just and honorable peace.

"(16) The Minister had expressed his desire for trust in him by President Roosevelt and the Secretary of State.

"MATSUOKA categorically indicated his approval as I read each statement. The only times he commented was when I read items (3), (8), (10), and (15), of which he gave the following amplifications.

"(a) Under item (3) Mr. MATSUOKA said that in his view Japan is obliged under the Tri-Partite Pact to go to war with the United States if the latter should declare war on Germany, but that Japan would first confer with Germany.

"(b) Under item (8) the Minister said that while they had expressed their desire for peace, Ribbentrop and Hitler had left him in no doubt that at the present time there was no possibility for peace and that several times Hitler had said to him emphatically that unless Britain capitulated there would be no peace.

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Spratt & Yelden

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"(b) Under item (8) the Minister said that while they had expressed their desire for peace, Ribbentrop and Hitler had left him in no doubt that at the present time there was no possibility for peace and that several times Hitler had said to him emphatically that unless Britain capitulated there would be no peace.

"(c) Under item (10) he added that in Ribbentrop's opinion, as expressed to him, Britain at the present moment was in a stronger position defensively than she had been when the war started.

"(d) Under item (15) he expanded what he had previously said by stating that peace between China and Japan could come only as the result of direct negotiation between them; an intermediary would not be accepted by the Japanese public.

#### "Steinhardt"

I now offer in evidence defense document 1659, an official document of the Japanese Foreign Office which is an opinion of the German government handed to the Japanese government on 11 May 1941 that Germany was opposed to the Japanese-American negotiations unless certain conditions were met.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document 1659

will receive exhibit No. 2758.

(Whereupon, the document above referred to was marked defense exhibit No. 2758 and received in evidence.)

MR. CUNNINGHAM: I offer to read in evidence exhibit 2758:

"Summary of the Opinion of the German

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Government as told by Ambassador Ott in Tokyo on 11 May 1941.

"It goes without saying that the Japanese Government itself would be in the best position to decide to what degree the proposal of the American President would restrict future Japanese action in Greater East Asia Co-prosperity Sphere. The German Government cannot but consider the proposal as originating from a far-reaching deliberation of the American President aimed at bringing about the relaxation of tension in the Pacific, in order to relieve the anxieties of the anti-war elements in the USA, and to advance in the established direction of participation in the war. The only way to check the determination of the leaders of the American Government to go to war has been to clarify the fact that American entry into the war will necessarily cause Japanese participation in the war. Therefore, there is no doubt that the American President is planning first to neutralize this fact and then to facilitate positive action on the European front.

"The policy of the American Government is to intensify de facto unneutral actions (patrol or convoy) without declaring war, to wait for counter-actions by Germany and Italy, and thus to shift the

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responsibility of starting the war to the axis side. Therefore, the German Government thinks it proper for the Japanese Government in its reply to the USA (1) to emphasize that the continuance of actions contrary to international law such as patrol or convoy, now being taken by the American Government will be considered as intentional measures of the USA to provoke war, and that it will necessarily force Japan to enter the war, (2) to state clearly that the Japanese Government is ready to study American proposals if the USA refrains from such actions.

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"In view of the grave effects this matter can have on the powers of the Tri-Partite Pact, the German Government asks of the Japanese Government that the content of the Japanese reply before being dispatched be confidentially shown to the German and Italian Government and that their opinions thereof be hard," "Heard," I presume that is.

I next offer in evidence defense document 19 No. 1658 which is an official document of the Japanese 21 Foreign Office, instruction of the German government 22 to the German ambassador in Tokyo which was presented 23 by Ambassador Ott to Foreign Minister MATSUOKA on 17 May 1941 to show that Germany was strongly displeased 25 that Japan sent her reply to the United States without

waiting for German opinion, as shown by exhibit \$\oldsymbol{2758}\$, and requested full participation in the Japanese-American negotiations which request the Japanese government, as will be proved later, never complied with.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document 1658

will receive exhibit No. 2759.

(Whereupon, the document above referred to was marked defense exhibit No. 2759 and received in evidence.)

MR. CUNNINGHAM: I read from exhibit 2759, skipping the formal parts:

"Instruction of the German Government to the German Ambassador in Tokyo (brought by the German Ambassador in Tokyo, Ott, on the occasion of a conversation with Foreign Minister MATSUOKA on 17 May 1941)

"The German Government is of the opinion that
the best way to prevent American participation in the
war would have been for Japan to refuse decisively to
negotiate on the American proposal. The German Government regrets that the Japanese Government did not wait
the German opinion before sending its answer for
the American Government. The Tri-Partite Pact was
concluded last year as a political and moral union of

the three powers Japan, Germany and Italy, and its great aim was to prevent third countries from participating in the war. The Pact has attained its aim heretofore and it will show its effectiveness also in the future if the United front of Japan, Germany and Italy can be closely maintained. Any treaty entered upon by one of the signatories of the Tri-Partite Pact with third countries outside the Pact can be taken to mean a weakening of the Tri-Partite front and therefore a diminishing of the political effect of the Pact. If, nevertheless, the Japanese Government thinks it unavoidable to negotiate with the U.S. government concerning Japanese-American relations, it will at least be necessary to forestall the possibility of such an unfavorable effect as mentioned above, since the USA is virtually an enemy of the Axis powers (although according to the international law she is not).

"Therefore it must be made the cardinal point of the Japanese-American agreement that the obligation of the US government not to interfere in the war between Britain and the Axis (in a much clearer form than before) and the obligation arising for Japan from the Tri-Partite Pact are established clearly and unequivocally. All other stipulations must be dependent

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on this cardinal point. Under these circumstances, the question of form would have the most important meaning. The second paragraph of the Japanese reply, which relates to the existence of the Japanese obligation arising out of the Tri-Partite Pact, set out the minimum of which should be referred to in a Japanese-American agreement; to deviate from or to weaken that minimum would bring matters to a downfall and, as a result, would contradict the spirit and meaning of the Tri-Partite Pact, ultimately making the Pact illusory.

"The German Government now has to assert the request that it be permitted to participate fully in the Japanese-American negotiations and be informed immediately of the answer of the USA. It will not comply with the relations of the Tri-Partite Pact if the Japanese Government receives American communications and decides the Japanese position in the future without first reaching an understanding with the German Government as to all of there important questions." "There," I think, is "t-h-e-i-r."

I now offer in evidence defense document

1641, a telegram from Ott to the German Foreign

Office dated 30 August 1941 concerning a conversation

with the Japanese Foreign Minister TOYODA to show that

Toyoda refused to communicate to Ott the contents 1 of the message sent by Premier KONOYE to President Roosevelt and that the Japanese government did not take Germany in confidence regarding the Japanese-United States negotiations. THE PRESIDENT: Admitted on the usual terms. 6 CLERK OF THE COURT: Defense document 1641 7 will receive exhibit No. 2760. (Whereupon, the document above 9 referred to was marked defense exhibit No. 2760 10 and received in evidence.) 11 MR. CUNNINGHAM: I offer now to read in 12 evidence exhibit 2760: 13 "Telegram (Secret Cipher process) 14 "Tokyo, 30 August 1941, 10.00 hours p.m." --15 16 10:00 p.m., it must be --"Arrival, 30 August 1941, 20.00 hours" --17 18 so it must not be "p.m." above --19 most urgent! "No. 1660 of 30.8 20 "Following the telegram of 29, No. 1657+) 21 "The Foreign Minister received me this 22 afternoon in the presence of an interpreter. I 23 described to him at first according to the telegraphic 24 instruction of 25 August No. 1383 ) the picture of the 25 general situation, emphasized the weakening which the

Soviet Union meanwhile experienced, and pointed out to the unreadiness of the American armaments.

(I told him that) in this situation Japan can freely make necessary decisions for the maintenance of her position and her prestige without risking anything.

"I requested the Foreign Minister to inform me of the details of the message of KONOYE to President Roosevelt. (I said that) I was afraid that this step might rouse in the U.S.A. the impression as if Japan is ready to give up an active action in the Pacific, and repeated our well-known argument as to the conclusions which can be drawn in the U.S.A. from the above impression only very easily, TOYODA answered that he could only confirm the statement of the Vice Foreign Minister AMAU of the previous day concerning the character of the KONOYE's message, and avoided to enter into a discussion on the expediency of the Japanese step. Japan wishes only in accordance with the aim of the Tri-Partite Pact to prevent America from entering the war. On my question about the first impression caused by the KONOYE's message he answered that the first conversation was only short and in view of the general character of the message no attitude of the American government have surely not yet expressed. A report of Ambassador

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NOMURA has not arrived yet; he will however comply with me in view of the importance urgently expressed request for information of the American answer, as soon as such arrives. TOYODA did not comply with my request to have the text of the message of KONOYE.

"TOYODA was during the conversation strongly reserved as it suits his nature. As I hear, the expediency of the message of KONOYE is livelily discussed in the army and circles. Although in view of the attitude of the KONOYE cabinet one expected attempts to establish, if only temporarily, modus vivendi with the U.S.A. the news of a message of the Premier Minister to Roosevelt came unexpectedly. The publicity given to this step in the American press reflected here painfully and also left in the governmental circle an uncertain feeling, that possibly a different than the hoped for reaction might ensue. The government is endeavoring to keep the treatment of this matter in the press within a limited scope.

"(Signed) Ott"

"Hearings before the Joint Committee on the Investigation of the Pearl Harbor Attack," part 33, pages 1363-1364, an intercepted Japanese message from Berlin to Tokyo, dated 1 October 1941, to show that the German leaders were deeply suspicious of Japanese attitude in connection with the negotiations with the United States, and Japanese-German relations were very much strained at that time.

The parent document is tendered for identification.

No. 1500, being the volume entitled, "Pearl Harbour Attack," will receive exhibit No. 2761 for identification only.

(Whereupon, the document above referred to was marked defense exhibit No. 2761 for identification only.)

MR. CUNNINGHAM: I offer in evidence defense document 1676 as an excerpt from that volume.

THE PRESIDENT: Brigadier Nolan.

BRIGADIER NOLAN: May it please the Tribunal, we object to this document on the ground that there is nothing upon it to indicate by whom or to whom it was sent, and we submit that these

are matters about which the Tribunal should be in-

indicates that it was from Berlin to Tokyo, and certainly the subject matter, Tri-Partite Pact and other documents, indicates that it was an official document which was intercepted, and naturally signatures are not attached to intercepted messages; and I submit that you have already accepted intercepted messages, unsigned as I understand it, from the prosecution, which should alleviate any objection on that. I refer to 802 and 803A.

THE PRESIDENT: They were messages I recollect, I think, intended for the Envoys KURUSU and NOMURA.

Just a second who they were from and who they were to, but it is apparent that this was from the German Foreign Office to the German Ambassador here in Tokyo.

I have the information here. Exhibit 802 was an intercepted message from TOGO to OSHIMA; 802A was from TOYODA to OSHIMA, but they were unsigned.

THE PRESIDENT: At all events, we know the individuals to whom they were addressed. Here we

just have from Berlin to Tokyo.

NR. CUNNINGIAM: This is from OSHIMA to

THE PRESIDENT: It does not appear so on the face of the document.

Brigadier Nolan.

BRIGADIER NOLAN: If that explanation is acceptable to the Tribunal we will withdraw our objection.

THE PRESIDENT: Well, you should satisfy yourself, Brigadier Nolan, and not leave it to us to make a determination of fact unassisted. The only question is, who was the addressee.

BRIGADIER NOLAN: We are satisfied, sir, that the information is correct.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document 1676

will receive exhibit No. 2761A.

(Whereupon, the document above referred to was marked defense exhibit No. 2761A and received in evidence.)

MR. CUNNINGHAM: I now read from exhibit 2716A, skipping the formal parts at the top:
"From: Berlin.

"To: Tokyo.

"1 October 1941.

versity of the Tri-Partite Pact, Foreign Minister
Libbentrop has come to Berlin from the Imperial
Headquarters especially and I have had several
visits with him. Using this opportunity I, and the
other members of the staff, have mingled with people
from all classes of society and visited with them.
I am endeavoring to sum up all these experiences
and analyze the present state of feeling toward
Japan held by Germany in this report to you.

"1. Ribbentrop said that he had absolute proof that, while reports of the content of the Japanese-American negotiations were withheld from Ambassador Ott, America was in secret communication with England in regard to the Japanese-American negotiations. Even Ribbentrop, who is supposed to understand Japan's position, expressed great dissatisfaction on regarding Japan's attitude.

"2. That the Foreign Office staff from Weizsacker down and also everyone in general were thoroughly disgusted with Japan was very apparent from their attitude toward myself and other members of the staff. Everyone who feels kindly disposed toward Japan is deeply concerned over this state of

affairs. Even those who do not come to the same conclusion that Ambassador Ott did in his telegram are outspoken in their dissatisfaction and expression of pessimistic views.

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"I am trying to take the position in interviews with newspaper correspondents and others concerned with the outside that Germany is cognizant of the Japanese-American negotiations and that they are no indication of an alienation between Japan and Germany.

"3. Foreign diplomats and newspaper correspondents of third countries show great interest in the Japanese attitude and seem to consider it in a certain sense as a barometer by which the course of the European war can be judged. However, we receive the impression that the greater number feel that Japan is avoiding war because of the impoverishment resulting from the China incident and is taking a pessimistic attitude toward the course of the European war.

"4. Even though it might be said that Germany is prepared for these machinations of estrangement by third countries and that she is keeping up the pretense that there is no change in her feelings toward Japan, the fact that the

feeling of German leaders and the people in general toward Japan is getting bad is one that cannot be covered.

"Please bear this fact in mind. If Japan takes a wishy-washy attitude and goes ahead with her negotiations without consulting Germany there is no telling what steps Germany may take without consulting Japan.

"Please convey this to the army and navy.
"Relayed to Rome."

I now offer in evidence defense document 206 E(112), an excerpt from Ambassador Grew's book, "Ten Years in Japan," which is his diary of 29 October 1941, to show that at the time when the TOJO Cabinet was formed there was no close contact between Japan and Germany and that this fact was obvious to the American Ambassador in Japan.

THE PRESIDENT: Brigadier Nolan.

ERIGADIER NOLAN: May it please the Tribunal, the document does not disclose the source of the information contained in the items in the document other than to say "by members of another country's mission in Tokyo." I submit that this is not sufficient for the purposes of this Tribunal and that the document should be rejected in its entirety.

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MR. CUNNINGHAM: I do not wish to argue the matter; I merely wish to read it if it is considered of any value.

THE PRESIDENT: By a majority the Tribunal sustains the objection and rejects the document.

MR. CUNNINGHAM: In order to show the lack of any collaboration between Germany and Japan, I would like to tender now defense document 1662, the affidavit of von Ribbentrop, signed by him on the 15th of October, 1946, the day before he was executed.

THE PRESIDENT: Mr. Comyns Carr.

MR. COMYNS CARR: May it please the Tribunal, the Tribunal has already rejected interrogations of Ribbentrop and extracts from his evidence before the Nuremberg Tribunal. While this affidavit is, indeed, entitled, "In these proceedings," it was taken under circumstances which obviously preclude cross-examination, which is invited by every line of it.

THE PRESIDENT: Does it comply with the essential requirements of a dying declaration? Can we say that he had a settled, hopeless expectation of death? Had the time for reprieve passed? We can't treat it as a dying declaration unless certain requirements have been met.

MR. COMYNS CARR: Your Honor, I am not quite sure about the position with regard to that.

THE PRESIDENT: We don't want to exclude these matters if we can possibly admit them, you understand, but we want to be sure when we are.

My colleagues seem to think that at this stage he would have known that he was to be executed. The absence of cross-examination is not conclusive, of course, Mr. Carr.

MR. COMYNS CARR: No, your Honor. It is very much a matter of the desire of the Tribunal. If the Tribunal feel they would like to have it read, I shan't press the objection.

THE PRESIDENT: In the very special circumstances we overrule the objection and admit the document on the usual terms.

CLERK OF THE COURT: Defense document 1662 will receive exhibit No. 2762.

(Whereupon, the document above referred

to was marked defense exhibit 2762 and received in evidence.)

MR. CUNNINGHAM: I offer to read exhibit 2762 into the record.

"INTERNATIONAL MILITARY TRIBUNAL FOR THE FAR EAST.

"THE UNITED STATES OF AMERICA et al.

-Against

"ARAKI, Sadao et al

## "AFFIDAVIT

"I, Joachim V. RIBBENTROP, being sworn on oath, do hereby depose and say that I was appointed by the Fuehrer the Ambassador at Large and the Plenipotentiary for Disarmament in April blank. Before that time I was a foreig political advisor to Hitler in non-official capacity. I was the German Ambassador to Great Britain from the summer of 1936 to 4 February 1938, when I was appointed the Foreign Minister of Germany.

"I met OSHIMA, Hiroshi for the first time in the summer of 1935. At that time OSHIMA was the Japanese Military Attache in Berlin. Thereafter we had several meetings at which German-Japanese relations were discussed principally. When OSHIMA was appointed the Ambassador to Germany in October 1938, I was the

Foreign Minister. He resigned his post and went home in November 1939 and returned again as Ambassador in February 1941.

#### "I. Anti-Comintern Pact

"The Anti-Comintern Pact was primarily an ideological pact. We Germans did not want to let Communism spread. Of course, there was also a political weight against Soviet Russia that was more or less the background of the pact. It is not true that this pact was directed against the democratic countries of the world. On the contrary. I tried hard after the conclusion of the pact to get Great Britain to join it, but was unsuccessful. I never had an impression that Japan might use the pact in her policy toward China or the South Sea area.

# "II. China Incident

I was in London and did not follow it very closely.

Afterwards, I tried repeatedly to settle the dispute.

I urged several times the Japanese to try to come to terms with China; I contacted the Chinese Ambassador in Berlin for that purpose. I remember also talking quite frequently to OSHIMA about the attempts to make peace with China, and OSHIMA showed a desire in the same direction.

# "III. German-Italian Military Alliance

"OSHIMA did not in any way contribute to the German-Italian Military Alliance in 1939.

## "IV. OSHIMA's Resignation

"OSHIMA resigned his post as Ambassador in 1939 after the Russo-German non-aggression pact was signed. No special reason was given by him to me officially for doing so.

## "V. Tripartite Pact

"I can definitely say that our view in concluding the Tripartite Pact was to keep the United States out of the war. At the same time I may perhaps point out that we always wanted to be friendly with Japan. I wanted to get Russia to join the pact, but I did not succeed.

# "VI. German-British War

"In the early part of 1941 I urged OSHIMA
to ask that Japan go to war with Great Britain, but I
wanted it done in such a way as not to include the United States. This conversation was merely diplomatic
talking, but not planning. In Germany the right to
plan such things was only held by the Fuehrer. I myself could not plan. OSHIMA, of course, as Ambassador,
could do it still less than I.

"I cannot imagine that OSHIMA told me that

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in February 1941 that the plan to attack fingapore would be ready by the end of May 1941. In the first place, OSHIMA would hardly have known. If OSHIMA had known, he most certainly would not have told me, because the Japanese never tell such things. If this was said it was for propaganda purposes and was not proposed by OSHIMA.

# "VII. German-Russian War

"After the Russian war broke out, I tried to get Japan against Soviet Russia. I told OSHIMA that it would be most useful if Japan would go against Soviet Russia. Judging from the attitude of OSHIMA and the Japanese Government, I got the impression that Japan did everything possible to keep out of the conflict with Soviet Russia and to keep from antagonizing Soviet Russia in any way.

## "VIII. Pearl Harbor

"Neither I nor OSHIMA had any advance 12 notice of the Japanese attack on Pearl Harbor. 13 It came as a complete surprise to all of us. We 14 learned about it through the radio. It was such a surprise that it was hard to believe. OSHIMA gave me the very clear impression that it was a complete surprise and he told me so. For diplomatic reasons we had to express our pleasure about the event. This feeling was not genuine.

"OSHIMA had no part in the decision of Hitler to declare war on the United States. Hitler considered that a virtual state of war existed between the United States and Germany since President Roosevelt's Navy Day speech in which he ordered the United States Navy to 'shoot on sight'.

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"IX. <u>U-Boat Warfare</u>

two U-Boats from Germany to Japan in 1943 no operation program was agreed upon between the two countries, and OsHIMA never handled the transfer. It was conducted through the Navy channel. OsHIMA neither considered nor subscribed in any way that shipwrecked crews be killed. Such a matter was, as not belonging to the diplomatic field, never discussed between us.

"The relation of Germany with Japan was never very close. Japan was very far away and we never were really aware of everything which was going on over there.

"So far as I became aware of the relationship between German and Japanese forces during the
war I believe that little or no collaboration was
practical or possible; at least none existed so
far as I know. When General Marshall said that there
was no actual collaboration between Germany and
Japan, it is exact.

#### "XI. Miscellaneous

"No agreement was ever suggested between OSHIMA and me concerning division of spoils of the

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war. Such matters are completely beyond the pale 1 of all diplomatic discussions. 2 "It has been charged that Japan and Germany, 3 together with Italy, planned to dominate the world. 4 Such a claim is as ridiculous as it is untrue, because 5 such a thing has never been dreamed of by the three 6 7 Powers. "/8/ Joachin V, Ribbentrop "Fworn to and subscribed by the above-9 10 named Ribbentrop, Joachin V. before the undersigned 11 officer at Nurnberg Germany 15 October 46 12 "/S/ Robert B. Starnes 13 "Captain Infantry 0-1284783." 14 I should like to read the certificate into 15 the record. 16 "OFFICE OF THE GENERAL SECRETARY 17 "October 18, 1946 18 "Mr. Owen Cunningham 19 "Hq. GHQ SCAP IMTFE 20 "APO 500 21 "c/o Postmaster 22 "San Francisco, California 23 "Dear Fir: 24

"Inclosed find one signed copy of affidavit

of Ribbentrop with annotations initialed by Ribbentrop.

"It may interest you to know that this affidavit was received and accomplished the day before the execution.

"Respectfully.

"JOHN E. RAY

"Colonel, FA

"General Secretary"

The last group of documents will now be produced for the purpose of showing that the Pearl Harbor attack was a complete surprise to Germany, that the German declaration of war against the United States was not connected with the Tri-Partite Pact, and that collaboration between Japan and Germany during the war was almost non-existent.

THE PRESIDENT: Mr. Cunningham, would you care to disclose by whom and upon what information Ribbentrop's affidavit was drawn?

MR. CUNNINGHAM: Yes, your Honor. I left
Tokyo on the 7th of August 1946 and arrived in
Nuernberg on the 20th of August, I think, and I had
a conference, three-hour conference, with Ribbentrop
on the evening of the 27th, I believe, and took a
40-page transcript. And then, I returned to Tokyo
and arrived here on the 17th of September, and on
the 10th or 12th, or on the 5th or 8th, I mailed

this affidavit, which was prepared by me here from the information received from Mr. Ribbentrop, to him and it was accomplished there. THE PRESIDENT: We will recess for fifteen minutes. (Whereupon, at 1445, a recess was taken until 1500, after which the proceedings were resumed as follows:) 

Morse & Lefler

MARSHAL OF THE COURT: The International Military Tribunal for the Far East is now resumed.

THE PRESIDENT: Mr. Cunningham.

MR. CUNNINGHAM: If your Honors please, I now offer in evidence defense document 1450, an excerpt from the transcript of the Nuernberg trial on 28 March 1946, which is part of the direct examination of the witness Paul Schmidt, to show that the Pearl Harbor attack was a complete surprise to the German Government. The parent document, the official transcript of the Nuernberg trial for the 28th of March, 1946, is tendered for identification. I only propose to read the last question and answer on page 2 and the top of page 3.

THE PRESIDENT: Mr. Comyns Carr.

MR. COMYNS CARR: May it please the Tribunal, the Tribunal has already ruled against other excerpts from the testimony given at Nuernberg. In this particular case, there is the distinction, which appears to us to make it worse, that Dr. Paul Schmidt is alive and could have sworn an affidavit and been brought here for cross-examination if desired.

THE PRESIDENT: Well, he was not an accused at Nuernberg.

MR. COMYNS CARR: No.

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24 25 THE PRESIDENT: And, if you want him crossexamined, you can apply to have him brought here.

MR. COMYNS CARR: I was going to add, your Honor, that the matter appears to us in any event to be irrelevant whether Ribbentrop was or was not surprised on hearing the news of Pearl Harbor.

THE PRESIDENT: Surprise can always be feigned, but this man may have known Ribbentrop's mind. It all depends.

MR. COMYNS CARR: I was not on the question of whether surprise was feigned or not but on the question of whether it mattered whether he was surprised or not.

THE PRESIDENT: Well, if he did not know that Pearl Harbor was to take place, or that the attack was to take place, it shows that the cooperation was not as great as the prosecution is alleging.

MR. COMYNS CARR: Your Honor, I was just going to add that he knew this attack upon the United States was going to take place is clear from exhibit 608. He may well not have known it was not going to take place at Pearl Harbor.

THE PRESIDENT: Well, we will have to weigh one document against the other if we admit this.

MR. COMYNS CARR: This document does not say that he was surprised at an attack upon the United

States. It merely says he was surprised at the news of Pearl Harbor. It is a very different thing.

THE PRESIDENT: Well, if there is some doubt about it, we ought to give the defense the benefit of it.

The objection is overruled and the document is admitted to the extent indicated by Mr. Cunningham, the last question and answer.

a transcript of the International Tribunal at Nuernberg for March 28, 1946, will receive exhibit No. 2763 for identification only. The excerpt therefrom, bearing the same document number, will receive defense exhibit No. 2763-A.

(Whereupon, the document above referred to was marked defense exhibit No. 2763 for identification, the excerpt therefrom being marked defense exhibit No. 2763-A and received in evidence.)

MR. CUNNINGHAM: I now offer to read from exhibit No. 2763-A, on page 2, the last question and answer:

"Q Witness, did you have an opportunity to observe just how Ribbentrop reacted to the news that Japan had attacked Pearl Harbor?

"A I didn't have a direct opportunity, no, but the Foreign Office knew generally that the Foreign Minister, just like the whole Foreign Office, was completely surprised by the news of Pearl Harbor. As far as I am concerned, that impression was confirmed by news regarding a member of the press section. press section had department concerning itself with radio news, and in the case of important news, the official on duty had orders to inform the Foreign Minister personally and at once. When the first news regarding Pearl Harbor was received by that section of the press, the official on duty considered it important enough to report to his chief, that is to say, the chief of the press section, who in turn intended to pass it on to the Foreign Minister. But, as I was told, the Foreign Minister turned him down rather harshly and he said that that was surely some invention of the press or some 'red herring,' and that he didn't wish 18 to be disturbed by our press section with stories like 19 that. 20

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"After that, a second and third new bulletin regarding Pearl Harbor was received, I think a Reuter report, and that had been received by that department. At the stage the chief of the press section gathered his courage together, in spite of the order not to

disturb the Foreign Minister, and informed him of this news."

I now offer in evidence defense document 1642, the German note of declaration of war on the United States, dated the 12th of December, 1941, to show that the German declaration of war, according to this note, was not related to the Tri-Partite Pact.

THE PRESIDENT: Admitted on the usual terms.

CLFRK OF THE COURT: Defense document 1642

will receive exhibit No. 2764.

(Whereupon, the document above referred to was marked defense exhibit No. 2764 and received in evidence.)

MR. CUNNINGHAM: I now offer to read exhibit 2764:

"Note of 11 December 1941. Handed by the German Foreign Minister to the American Charge d'Affaire prior to the session of the Diet.

"Mr. Charge d'Affaires:

"After the Government of the United States of America, since the outbreak of the European war called into being by the English declaration of war to Germany of 3 September 1939, had violated most flagrantly all rules of neutrality in a steadily increasing degree in favour of the enemies of Germany, and committed continuously gravest provocations against Germany, it went over to open military aggressive actions.

"On 11 September 1941 the President of the United States of America declared publicly that he had given an order to the American fleet and air forces to shoot on sight any German warship. In his speech of 27 October of this year he again confirmed emphatically that this order was effective.

"According to this order American warships since the beginning of September systematically attacked German naval forces. So the American destroyers, for instance the Greer, the Kearney and the Reuben James, opened fire deliberately on German U-boats. The Secretary of the American Navy, Mr. Knox, himself confirmed that American destroyers attacked German

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U-boats.

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"Furthermore the naval forces of the United States of America, upon order of their government, treated and captured German merchant ships on the open sea as enemy ships contrary to the international law.

"The German Government therefore recognizes the following fact:

"Notwithstanding Germany on her side observed strictly towards the United States of America during the whole present war the rules of international law, the government of the United States of America went over from the violations of neutrality in the beginning to ultimately open war actions against Germany. It has thereby practically created the state of war.

"The German Government therefore severs the diplomatic relations with the United States of America and declares that Germany under these circumstances caused by President Roosevelt considers herself also from today as being in the state of war with the United States of America.

"Allow you, Mr. Charge d'Affaire, the expression of my regards.

"Ribbentrop"

Now, your Honors, as my last document on this phase of the case, I offer in evidence defense document No. 1674, which is an excerpt from General Marshall's Report "The "inning of the "ar in Eruope and the Pacific," for identification, and tender the excerpt therefrom as evidence, to show that the military authority on the allied side came to a conclusion that there was no close strategic collaboration, to say nothing of an unified strategic plan, between Japan, Germany and Italy, a fact which contributed much to the victory of the Allies.

THE PRESIDENT: Admitted on the usual terms. MR. CUNNINGHAM: I only want to read from the middle of the page down to the end.

CLERK OF THE COURT: Defense document 1674, a volume entitled "The Winning of the War in Europe and the Pacific," by General Marshall, will receive exhibit No. 2765 for identification only, the excerpt therefrom bearing the same number will receive exhibit No. 2765A.

(Whereupon, the document above referred to was marked defense exhibit No. 2765 for identification, the excerpt therefrom being marked defense exhibit No. 2765A and received in evidence.) MR. CUNNINGHAM: I now read from exhibit

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2765A starting with:

"Nor is there evidence of close strategic coordination between Germany and Japan. The German General Staff recognized that Japan was bound by the neutrality pact with Russia but hoped that the Japanese would tie down strong British and American land, sea and air forces in the Far East.

"In the absence of any evidence so far to the contrary, it is believed that Japan also acted unilaterally and not in accordance with a unified strategic plan."

THE PRESIDENT: Fre we to take even General Marshall's opinion? However, the document is not objected to.

MR. CUNNINGHAM: With the exception of a few witnesses whose affidavits were not prepared in time for presentation, and with the exception of the document which had so many annexes and so on which will have to be arranged and presented later, that concludes my presentation of evidence in this phase of the case.

THE PRESIDENT: Mr. Comyns Carr.

MR. COMYNS CARR: May it please the Tribunal, with reference to exhibit 2762, the affidavit of Ribbentrop, I am reminded that I should have called the attention of the Tribunal to the fact that the

other day two affidavits by Russian witnesses,
Rodzievski and Semyonov, the Tribunal announced its
decision to disregard those affidavits. We are not
sure how far that decision was governed by the fact
that those witnesses had been executed before they
could appear for cross-examination, but if that was
the governing consideration we would ask that Ribbentrop's
affidavit be treated on the same basis as those two
affidavits.

Ribbentrop's affidavit was established to our satisfaction as a declaration made at the point of death and with the hopeless expectation of death. There was no proof before us that when these witnesses to whom you refer made their affidavits they knew they were going to die. They may then have been sentenced. We don't know. Even if they were under sentence, we have no evidence one way or the other as to whether they might anticipate a reprieve. The possibility of a reprieve was precluded in Ribbentrop's case.

I have no more to say about this evidence. I must consult my colleagues. But I can make that statement because it is a fact beyond all question.

Do I understand from you, Mr. Cunningham, that you are not prepared to go shead tomorrow?

MR. CUNNINGHAM: Your Honor, I think that the balance of the defense is prepared to start after the recess.

THE PRESIDENT: Well, we will adjourn now until 0930 -- Mr. Carr.

MR. COMYNS CARR: Your Honor, there is one other matter I wanted to mention before the Tribunal adjourned.

Some days ago Mr. Furness raised a question to an observation of mine with regard to the accused SHIGEMITSU which I was not then able to deal with. I have investigated it now and I find he was quite right. SHIGEMITSU was not decorated for services in connection with the Anti-Comintern Pact. The mistake arose owing to a confusion between SHIGEMITSU and SHIMADA, who was.

THE PRESIDENT: Mr. Tavenner.

MR. TAVENNER: If the Tribunal please, I think it is advisable that there be no uncertainty on the situation regarding the completion of the Tri-Partite phase of the defense case.

THE PRESIDENT: Well, it isn't complete.

There are some rag ends, if I may call them so. Have
you any suggestion, Mr. Tavenner?

MR. TAVENNER: The statement by counsel was

of such a general character that I though it should be made more specific. THE PRESIDENT: Well, I take it there will be very little to finish it. MR. CUNNINGHAM: I have been handed a note, your Honor. The SAITO affidavit, and MATSUMOTO 6 affidavit, and document 1656 is all we know now that will be tendered, and I believe that completes the presentation of that phase of the case. 10 MR. TAVENNER: That answers my question. 11 At page 24,504 of the transcript I introduced 12 exhibit 2746 which is a statement by Stahmer. I 13 served it upon the defense counsel, and I have the 14 required copies for the Tribunal now. 15 THE PRESIDENT: Circulate them, please. 16 MR. TAVENMER: That is all, your Honor. 17 THE PRESIDENT: Does any other counsel desire 18 to address the Court before we adjourn? 19 (There was no resmonse) 20 THE PRESIDENT: We will adjourn until half 21 past nine on Monday morning, the 4th of August, next. 22 (Whereupon, at 1530, an adjournment 23 was taken until Monday, 4 August 1940, at 24

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0930.)